

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dan Galai et al.                      Art Unit : 3624  
Serial No. : 10/753,131                      Examiner : Lalita M. Hamilton  
Filed : January 7, 2004                      Conf. No. : 4435  
Title : DIVERSIFICATION OF RISK FOR ARTISTS AND INVESTORS

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

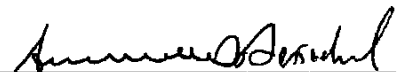
Applicant requests consideration of the references listed on the attached PTO-1449 form. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

The listed references were mentioned by the Examiner during a telephone call to the undersigned representative on November 6, 2006 and discussed by the undersigned representative with the Examiner during a telephone call on November 15, 2006 (*see* concurrently-filed Interview Summary).

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 11/22/06

  
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|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449<br>(Modified)<br><br><b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>16615-002001 | Application No.<br>10/753,131 |
|  | Applicant<br>Dan Galai et al.                              |                                       |                               |
|  | Filing Date<br>January 7, 2004                             | Group Art Unit<br>3624                |                               |

| U.S. Patent Documents |           |                 |                  |          |       |          |                            |
|-----------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|                       | AA        | 7,062,457       | Jun 13, 2006     | Kaufman  |       |          |                            |
|                       | AB        | 6,792,411       | Sept 14, 2004    | Massey   |       |          |                            |
|                       | AC        |                 |                  |          |       |          |                            |
|                       | AD        |                 |                  |          |       |          |                            |
|                       | AE        |                 |                  |          |       |          |                            |
|                       | AF        |                 |                  |          |       |          |                            |
|                       | AG        |                 |                  |          |       |          |                            |
|                       | AH        |                 |                  |          |       |          |                            |
|                       | AI        |                 |                  |          |       |          |                            |
|                       | AJ        |                 |                  |          |       |          |                            |
|                       | AK        |                 |                  |          |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
|   | AL        |                 |                  |                          |       |          |             |    |
|   | AM        |                 |                  |                          |       |          |             |    |
|   | AN        |                 |                  |                          |       |          |             |    |
|   | AO        |                 |                  |                          |       |          |             |    |
|   | AP        |                 |                  |                          |       |          |             |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |          |
|---|-----------|----------|
| Examiner Initial  | Desig. ID | Document |
|   | AQ        |          |
|   | AR        |          |
|   | AS        |          |
|   | AT        |          |

|  |                 |
|--|-----------------|
| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |